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Susan L. Eick

Name
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Signature

April 22, 2004

Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ferrone et al.

Filed: July 11, 2003

Serial No. 10/618,336

For: GD3 Peptide Mimics

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant wishes to make of record the references listed in the attached Form 1449.
Legible copies of the references listed are enclosed.

It is believed that no fee is due. However, if that is incorrect, the Examiner is authorized to charge any fee due to Deposit Account No. 08-2442.

Respectfully submitted,

By:

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Dated: April 22, 2004



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PTO/SB/08A(05/03)

Approved for use through 05/31/2003, OMB 0651-0031

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1	of	2	Application Number	10/618,336
				Filing Date	July 11, 2003
				First Named Inventor	Ferrone et al.
				Art Unit	1646
				Examiner Name	
				Attorney Docket Number	03551.0135

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US-5,807,978	09-15-1998	Kokolus et al.	
	2	US-5,882,654	03-16-1999	Morton	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/618,336
				Filing Date	July 11, 2003
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				Group Art Unit	1646
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	03551.0135

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	CHAPMAN ET AL., <i>Vaccination with a Bivalent G_{M2} and G_{D2} Ganglioside Conjugate Vaccine: A Trial Comparing Doses of G_{D2}-Keyhole</i> , Clinical Cancer Research (December 2000) Vol. 6, pp. 4658-4662	
	2	GRANT ET AL., <i>Long Survival of Patients with Small Cell Lung Cancer after Adjuvant Treatment with the Anti-Idiotypic Antibody BEC2 Plus Bacillus Calmette-Guérin</i> , Clinical Cancer Research (June 1999) Vol. 5, pp. 1319-1323	
	3	LESINSKI ET AL., <i>A DNA Vaccine Encoding a Peptide Mimic of Streptococcus Pneumoniae Serotype 4 Capsular Polysaccharide Induces Specific Anti-Carbohydrate Antibodies in Balb/c Mice</i> , Vaccine (2001) Vol. 19, pp. 1717-1726	
	4	LUO ET AL., <i>A Molecular Basis for Functional Peptide Mimicry of a Carbohydrate Antigen</i> , The Journal of Biological Chemistry (May 26, 2000) Vol. 275, No. 21, pp. 16146-16154	
	5	MCCAFFERY ET AL., <i>Immunization of Melanoma Patients with BEC2 Anti-Idiotypic Monoclonal Antibody That Mimics GD3 Ganglioside: Enhanced Immunogenicity When Combined with Adjuvant</i> , Clinical Cancer Research (April 1996) Vol. 2, pp. 679-686	
	6	QIU ET AL., <i>Towards the Development of Peptide Mimotopes of Carbohydrate Antigens as Cancer Vaccines</i> , Hybridoma (1999) Vol. 18, No. 1, pp. 103-112	
	7	YAO ET AL., <i>Immunization of Melanoma Patients with BEC2-Keyhole Limpet Hemocyanin Plus BCG Intradermally Followed by Intravenous Booster Immunizations with BEC2 to Induce Anti-GD3 Ganglioside Antibodies</i> , Clinical Cancer Research (January 1999) Vol. 5, pp. 77-81	

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